



## Research & Municipal Bond Disclosure, simplified.

No more flipping through lengthy official statements to extract the relevant facts. The most popular information product from DPC DATA, *MuniPOINTS* condenses “just the facts” into an easy-to-read brief that streamlines municipal bond disclosure compliance and your sales process. *MuniPOINTS* documents are concise descriptive sheets on municipal bond issues. They contain bullet-pointed text, excerpted directly from the official statements with no analytic content.

Indexed by CUSIP®, *MuniPOINTS* are quick to access and offer rapid understanding of bond characteristics, as well as easy comparison of different issues. In addition, a click-through feature provides links to all underlying disclosure documents via *MuniDOCSOnline*, as well as the latest news related to the bond on *MuniGUARD News*.

### Key benefits of *MuniPOINTS*:

- ***MuniPOINTS* is an auditable best practice sales and compliance solution for retail and institutional dealers with well over 200,000 users in the marketplace.**
- **Solution to Address Municipal Credit Review/Due Diligence for Community Banks -**  
New regulations under Dodd-Frank went into effect on Jan. 1, 2013 for community banks, and dealers that serve this market have been signing up with DPC DATA to help better serve their bank clients.
- ***MuniPOINTS* fact sheets are not considered to be ‘advertising’ by FINRA and they save you time and money while making salespeople more effective -**  
The fact sheets are extracted directly from the final official statement, thus mitigating compliance risk and giving salespeople and traders one-click print and email functionality to send comprehensive disclosure information, related documents and relevant news to customers.
- **Integration with Trading Platforms –**  
DPC DATA has a distribution channel in place with TheMuniCenter, Tradeweb/BondDesk, KCG BondPoint, and Broadridge Securities Processing Solutions to deliver *MuniPOINTS* directly to users of those platforms without any IT work from the client.
- ***MuniPOINTS* can also be integrated into proprietary systems via our Direct Access portal as well with very minimal IT required from client.**
- **Clients can also brand the *MuniPOINTS* front page and reports with their own logo and custom disclaimers.**

## New Enhancements to *MuniPOINTS*:

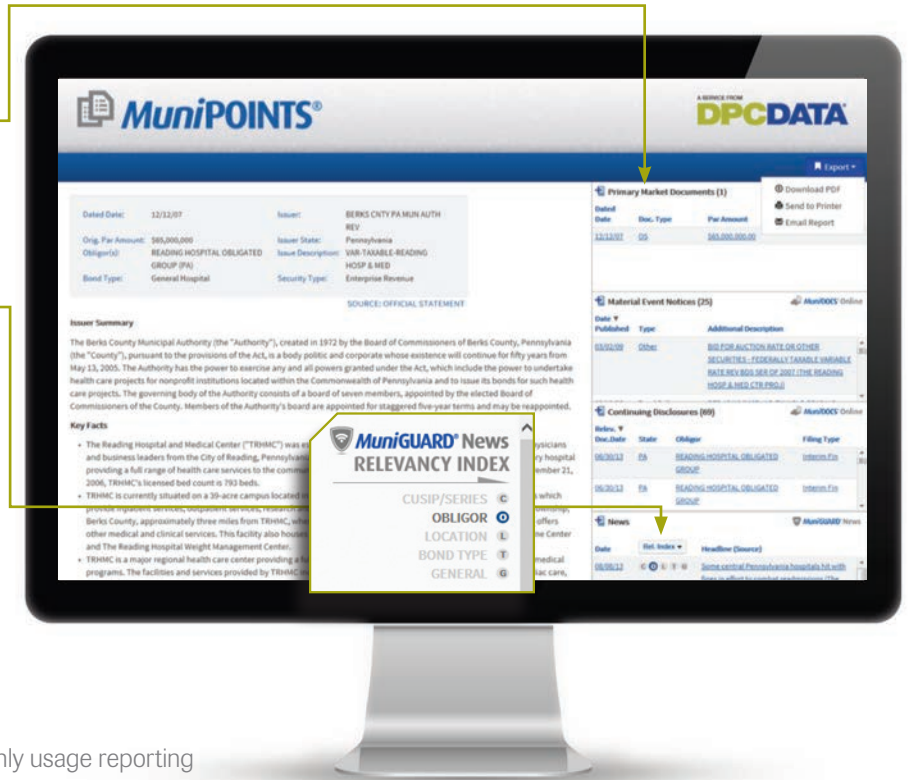
Newly updated dashboard-style landing page brings all of DPC DATA's rich disclosure and news content forward for better access and usability.

Expandable and collapsible windows to *MuniDOCS*® Online and *MuniGUARD*® News allow users to drill down easily to content they are interested in.

Updated *MuniGUARD* News Relevancy index and icons makes it easier to identify the relevancy of each article.

To assist with pre-sale and post-sale compliance and auditing, Deal Reports contain date/time stamp when the report was downloaded or emailed and provides details of all content available at that time via *MuniDOCS* Online and *MuniGUARD* News.

Updated Email Report functionality and monthly usage reporting promotes an auditable compliance reporting solution for firms.



## Best Practice Solution to Address Disclosure at the Point of Sale & Suitability (Rules G-17, G-19, Proposed G-47)

*MuniPOINTS*, *MuniGUARD* News, and *MuniDOCS* Online represent DPC DATA's widely used suite of web services that provide easy access to extracted deal information on U.S. municipal bonds, and provide a turn-key solution for MSRB Rules G-17, G-19 and proposed Rule G-47 as part of the guidelines set forth by SEC Rule 15c2-12.

To fully comply with these rules, your firm needs access to comprehensive secondary market disclosure information at the CUSIP®, Issuer and Obligor level – all provided by DPC's *MuniDOCS* Online service - and have an auditable process in place to ensure that investors are aware of all disclosure and material information prior to a security transaction.

Additionally, regional and local news content that is publicly available may be considered "material information" by regulators since it may have an impact on credit quality and client suitability. *MuniGUARD* News provides daily access to this relevant news and delivers it at the CUSIP level.

**For more information and sample reports, contact us to set up a demonstration at 800-996-4747 or sales@dpcdata.com.**



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