



## CUSIP-Linked News for the Municipal Market

*MuniGUARD*<sup>®</sup> News delivers “news in context” for municipal market professionals. Filtering thousands of stories daily from more than 500 largely local and regional news sources, the service identifies news articles associated with specific bonds by CUSIP/Series, Obligor, Location and/or Bond Type, as well as general market stories.

*MuniGUARD* News delivers the results in seamless integration with users’ workflow. Users can quickly choose the stories that are meaningful to them. One-click access opens the story and links to the source.

Like all DPC DATA solutions for municipal market professionals, *MuniGUARD* News is designed to save time and money for sales and compliance teams. It supports a broad range of mission-critical tasks including the following:

- Dealers: Obtain the latest news and information to determine suitability and provide disclosure beyond official filings
- Advisors: Dig deeper into issuer and obligor circumstances to meet fiduciary obligations
- Receive news alerts that may indicate potential distress in your trading position or portfolio
- Mitigate compliance risk by ensuring access to critical news as soon as it is available.

For all these uses, *MuniGUARD* News offers a seamlessly intuitive experience for the user, a streamlined means of communicating with investors, and an auditable process to address regulatory requirements. Integration with internal systems is simple and requires little IT involvement.

## The New Challenge: Disclosure Beyond Official Filings

According to FINRA guidance on MSRB Rule G-17, material information to be disclosed prior to selling a municipal bond includes not only continuing disclosures but information deemed publicly available from other sources. The search for relevant news is now a critical piece of best practices in disclosure compliance.

*MuniGUARD* News addresses this potentially overwhelming challenge. Leveraging the deep indexing, coding and tagging resources of DPC DATA, *MuniGUARD* News provides an automated approach to researching current news related to bond issues and obligors.

It extends the best-practices compliance solutions of *MuniPOINTS*, *MuniDOCS* and *MuniGUARD* to incorporate news surveillance. Like other DPC solutions, it can also be implemented standalone in your workflow.

**For Surveillance:  
Obligor News Also  
Available by Data Feed**

The MuniPOINTS-linked version of MuniGUARD News, shown at right, has become the fastest growing service offered by DPC DATA for disclosure to investors at the point-of-sale. **A streamlined version has also been developed specifically for bond surveillance.**

Delivered by intra-day data feed, it provides the latest obligor-related news from established local and regional publications. This on-the-ground reporting can offer the earliest warnings of potential changes in credit quality. For bond research, portfolio compliance or providing ongoing disclosure to investors, MuniGUARD News targets the needs of professionals in the municipal bond market.

Easily integrated into portfolio management and other platforms maintaining CUSIP-based listings, it can be tailored to provide alerts when news arrives.

**MuniGUARD NEWS** **DPCDATA**

**Dated Date:** 09/01/99 **Issuer:** DALLAS-FORT WORTH TEX INTL ARPT FAC IMPT CORP REV  
**Orig. Par Amount:** \$ 209,090,000 **Issuer State:** Texas  
**Obligor(s):** AMERICAN AIRLINES (AMR CORP; NYSE: AMR) (TX) **Issue Description:** AMERICAN AIRLINES INC  
**Bond Type:** Airport **Security Type:** Enterprise Revenue

*Click on column header to sort*

Date	Headline	MuniGUARD News Relevancy Index	CUSIP SERIES	OBLIGOR	LOCATION	BOND TYPE	GENERAL
06/05/13	American Airlines gets judge's nod for creditor vote on bankruptcy plan <small>(Tulsa World, Okla.)</small>			✓			
06/04/13	American Airlines reorganization plan will go ahead to creditors <small>(Tulsa World, Okla.)</small>			✓			
06/04/13	Bankruptcy judge removes obstacle to American-US Airways merger <small>(Securities Washington Bureau)</small>			✓			
06/04/13	As American Airlines merger date nears, questions abound <small>(The Dallas Morning News)</small>			✓			
06/04/13	US Airways schedules shareholders vote on merger with American Airlines <small>(Tulsa World, Okla.)</small>			✓			
06/04/13	Hearing on AMR bankruptcy plan's disclosure statement today <small>(The Dallas Morning News)</small>			✓			
06/02/13	Week in Review <small>(Tulsa World, Okla.)</small>			✓			
06/01/13	American Airlines' creditors approve company's reorganization plan <small>(Tulsa World, Okla.)</small>			✓			

**For Disclosure: One-click Access through MuniPOINTS**

For users of DPC's MuniPOINTS® service, MuniGUARD News can be incorporated as a resource that opens from any MuniPOINTS deal summary. When opened, MuniGUARD News offers a user-friendly experience, headed with bond identifying information, a list of associated articles, as well as the MuniGUARD News Relevancy Index.

Like MuniPOINTS and MuniDOCS® Online, MuniGUARD-News serves as point-of-sale research for the firm. The content can be easily emailed to investors before and after the sale, streamlining communication and keeping them informed of ongoing news that may affect holdings.

So why go through the time and cost of sourcing, filtering and indexing the daily deluge of news stories when DPC is here to help? MuniGUARD News handles the heavy lifting of news research for you.

**For more information, contact the DPC DATA sales team directly at 201 346-0701 or sales@dpccdata.com today.**